

**Standard Revision Cover Page for CPAP and
Non-CPAP Countries or Projects outside a CPAP**

United Nations Development Programme

Country: Lao PDR

Project Document

Project Title: Support for the institutional Strengthening of the National Regulatory Authority for the UXO/Mine Action Sector in Lao PDR (NRA) and of the Lao National UXO Programme (UXO Lao) 2013-2016.

UNDAF Outcome(s): By 2016, national and local government and communities have significantly reduced the impact of UXO on people in the Lao PDR.

Expected CP Outcome(s): To contribute to attaining the goals of the 7th National Socio-Economic Development Plan (NSEDV VII) – ensuring inclusive and sustainable growth and to achievement of the MDGs as the basis for exiting from Least Developed Country (LDC) status by 2020.

Expected Output(s):

Output 1: The National Regulatory Authority is able to effectively provide policy guidance coordinated, and regulate the UXO sector in support of national development goals, implementing the national UXO sector strategy "Safe Path Forward II" and fulfilling international treaty obligations.

The National Regulatory Authority for the UXO/Mine Action Sector in Lao PDR (NRA) has an improved capacity to:

(i) provide policy guidance, coordinate and regulate all activities in the UXO sector (ii) plan and establish appropriate priorities for clearance and other activities that are consistent with Government directives, humanitarian and development considerations (iii) assess and accredit operators (iv) monitor and evaluate activities and ensure that activities undertaken by operators meet national standards (v) provide timely and substantive reports to Government and donors (vi) maintain open and transparent financial and other records and to remain fully accountable for all funds received (vii) to engage and cooperate with other relevant actors in other sectors, and (viii) to maintain open and effective communication with all stakeholders.

OUTPUT 2: UXO Lao is better able to manage clearance and risk education programmes for the needs of communities at risk.

The Lao National Unexploded Ordnance Programme (UXO Lao) has an improved capacity to:

(i) effectively and efficiently deliver clearance and risk education programmes wherever it is active in the country (ii) carry out activities that are consistent with national priorities established for the sector (iii) ensure all programme activities meet national standards (iv) coordinate with other operators active in Lao PDR (v) report on progress and remain transparent and fully accountable for financial and technical resources, and (vi) maintain open and effective communication with all stakeholders.

Implementing Partner:

- National Regulatory Authority for the UXO/Mine Action Sector in Lao PDR (NRA)
- Lao National Unexploded Ordnance Programme (UXO Lao)

Substantive Revision Justification

According to the signed project document, the project will be ending 31 December 2015. During project Board on 18th of March 2015 board members found that it would be advisable to extend the current project for another year, not least due to the years 2015-2016 being critical for the UXO sector. This extension provides an opportunity to adequately take into account the findings for the two evaluations planned for mid-year 2015, namely the Independent Evaluation Office (IEO) impact evaluation dedicated to "UNDP support to countries on Mine Action", of which Lao PDR is one of the case studies, and the evaluation of the current UXO project in Lao PDR, an evaluation initiated by UNDP Lao PDR and its Development Partners. This extension also gives the UNDP and its implementing partners, NRA and UXO Lao, sufficient time to develop a new project from 2017 with clear linkages to the new 8th National Socio-Economic Development Plan (NSED). It also ensures that the new UXO project is informed by the design of a new Lao PDR-UN Partnership Framework 2017-2021, which will replace the current UN Development Assistance Framework (UNDAF), as well as by UNDP's new Country Programme Document (CPD).

Programme Period: April 2013 – 31 December 2016

Key Result Area (Strategic Plan)
Crisis Prevention and Recovery and Poverty Reduction

Atlas Award ID: 00062994 and 00063618

Start date: April 2013

End Date: 31 December 2016

PAC Meeting Date: 12 February 2013
Note: Please note Project Board decision on 18th March 2015 to extend for another year

Management Arrangements: NIM

Total resources required
Previous budget
USD 26,686,787

Revised budget
USD 34,536,787

Total resources 2013-2015:	USD	25,814,684
2016 budget (NRA & UXO Lao)	USD	7,850,000

- Regular
- Other:
 - Australia: USD 513,800
 - KOICA: USD 888,055

Unfunded budget: USD 7,320,248



Agreed by (Government):

ບຸນເຮືອງ ດວງພະຈັນ
Mr. Bounheuang DOUANGPHACHANH

Mr. Bounheuang Douangphachanh, Chairman of the NRA Board

Date: **20 AUG 2015**

Agreed by (UNDP):



Ms. Kaarina Immonen, Resident Representative, United Nations Development Programme

Date: **12 4 AUG 2015**

Addendum to

The Support for the institutional Strengthening of the National Regulatory of the UXO/Mine Action Sector (NRA) and the Lao National UXO Programme (UXO Lao) Project

Situational Update

Lao PDR has 9 highly UXO affected provinces, and communities living in and around UXO contamination are the beneficiaries for all UXO activities. As the size and number of confirmed hazardous areas in these provinces is being determined through the new concept of operations for Non-Technical Survey and Technical Survey, a much better indication of both the size of the UXO problem and number of beneficiaries will to be clarified as the new concept of operations continues to be implemented in 2015, 2016 and 2017.

There remains a large number of stakeholders in the UXO sector in Lao PDR, these include the Government of Lao PDR and the various Ministries that are reliant on the release of land (through either survey or clearance) as the precursor for development activities, the Development Partners (those who provide resources through the UNDP Managed Trust Fund, bilaterally funded to the Government of Lao, or the direct funding of UXO operations), UXO operators (in all pillars), and the beneficiaries.

The UXO system is managed and coordinated by the NRA. UXO Lao in addition to INGOs and commercial companies are the main implementing agencies. Commercial companies conduct UXO operations in support of requests and payment. UXO Lao and the INGOs conduct UXO operations in support of the national development plans. The NRA ensures that all operations are accredited (licensed for work) and all operations are conducted according to National Standards.

For all activities, no matter where or how the resources are channeled, the NRA is the Government institution that is responsible for the management, coordination and quality of all UXO operations (survey, clearance, RE, VA, treaty obligation). UXO Lao remains the largest UXO clearance organisation and works in all 9 heavily contaminated Provinces, and is the medium term national UXO clearance capacity for in Lao PDR. “The Support for the institutional Strengthening of the National Regulatory of the UXO/Mine Action Sector (NRA) and the Lao National UXO Programme (UXO Lao)” provides the majority of support for these two institutions.

NRA Results and Resources Framework: until December 2016			
Project title: Support to the NRA			
ATLAS Award ID:			
INTENDED OUTPUTS	OUTPUT TARGETS FOR (YEARS)	INDICATIVE ACTIVITIES	INPUTS
<p>OUTPUT 1:</p> <p>The National Regulatory Authority is able to effectively provide policy guidance to coordinate, regulate, and steer the UXO sector in support of national development goals, implementing the national UXO sector strategy “Safe Path Forward II”, and fulfilling international treaty obligations</p> <p>Indicators (Baseline, Target, Means of Verification (MoV)):</p> <ul style="list-style-type: none"> No. of UXO survivors whose needs are tracked (2010: 0, 2016: 9000, MoV: NRA Database). 	<p>Targets (year 4 = 2016):</p> <ul style="list-style-type: none"> No of new UXO survivors whose needs are tracked: (estimated 50² persons for 2016) % of operators accredited: 100% % of operators reporting electronically to the NRA: 100% The Victim Assistance Strategy is implemented with attention to VA as a component of broader disability sector. Lao PDR complies with Articles 1, 3, 4, 5, 6, 7, 9 and 11 of the CCM.³ 	<p>Activity Result 1: Risk education methodologies improved, reinforced and better disseminated</p>	<p><i>Year 4: \$ 117,396</i></p>
		<ul style="list-style-type: none"> Action: Monitor UXO risks education (RE) activities in Lao PDR in including gender issues Action: Convene Risk Education Technical Working Group meetings Action: Research on the risk behavior, need analysis, and recommendations of adaptation and implementation of Prime Minister Action: The analysis on attitude related to MRE messages. Review and update it as necessary Action: Ensure interaction with the Ministry of Education and Sport (training of teachers) and Ministry of Information, Culture and Tourism (use of radio and loudspeakers). Action: Ensure that participation of women and children in the local level MRE activities increases and they continue to play a key role Action: Ensure that the MRE activities are efficient and have long-term sustainability, in part through the village volunteer programme. 	

²The No. reflects the no of survivors approximately in 2014 only.

³ Verification source: Cluster Munitions Monitor Lao PDR country profile: www.the-monitor.org

<ul style="list-style-type: none"> • % of operators accredited (2010: 33%, 2016: 100%, MoV: NRA Annual Reports). • % of operators reporting electronically to the NRA (2010: 13%¹, 2016: 100%, MoV: NRA database). • % of population in UXO affected areas with improved knowledge, attitude and practices related to UXO risk reduction (2010:NA, 2016:TBD, MoV:KAP studies) • Timely submission of UXO SWG's quality inputs to RTM/RTiM (2010: Yes, 2013-2016: Yes, MoV: receipt by DIC/MPI). • UXO Sector Multi-Year Work Plan till 2020 based on <i>Safe Path Forward II</i> and CCM drafted, approved and implemented (2010: no, 2016: yes, MoV: availability of WP). • Increase in GoL contribution to the UXO sector (2010: in-kind only, 2016: financial 	<p>- Lao PDR promotes the CCM internationally and complies with Article 21.⁴</p>			
		<p>Activity Result 2: Practices of information management and surveillance of UXO survivors improved; a strategy for victim assistance formulated</p>		
		<ul style="list-style-type: none"> ▪ Action: Improve and maintain a nationwide Survivor Tracking System. ▪ Action: Analysis of the data collected by the Survivor Tracking System and recommendations to Victim Assistance operators. ▪ Action: Promote implementation of the national Victim Assistance Strategy, with attention to VA as a component of broader disability sector and integration of policies/actions of other concerning ministries such as MOH, MOE, MOLSW, MOF etc. ▪ Action: Convene quarterly meetings of the Victim Assistance Technical Working Group. 	<p>Year 4:\$ 187,826</p>	
		<p>Activity Result 3: More efficient and effectively-planned release of land coordinated and regulated in accordance with development goals as prioritized in the NSEDP and other relevant national planning and policy frameworks</p>		
		<ul style="list-style-type: none"> ▪ Action: continue support for the implementation of NTS and TS ▪ Action: Integrate outcomes of survey results into NSEDP planning mechanisms, under the guidance of National Leading Committee on Rural Development and Poverty Eradication. 	<p>Year 4: \$896,156</p>	

¹ 2 operators out of 15 in 2011

⁴ Verification source: Cluster Munitions Monitor Lao PDR country profile: www.the-monitor.org

<p>contribution included, MoV: UXO Sector Annual Report).</p>		<ul style="list-style-type: none"> ▪ Action: Identify linkages with other ministries and bodies to support improved integration with NSEDP and UXO sector planning and reporting. ▪ Action: Monitor, train and support clearance operators, including through the development of new methodologies and technologies for clearance and survey. ▪ Action: Convene quarterly meetings of the Clearance Technical Working Group Action in 2014: Commission Post Clearance Impact Assessment including gender perspectives. 	
Activity Result 4: Effective coordination and regulation of the UXO Sector			
		<ul style="list-style-type: none"> ▪ Action: Provide policy guidance and direction as required to ensure the effective coordination and regulation of the UXO sector and compliance with national strategies and plans. ▪ Action: Review National Standards and modify as required. ▪ Action: process accreditation of all operators (including NGOs, commercial operators and the Lao Army), to ensure compliance with the National Standards. ▪ Action: conduct QA/QC of operators and investigate incidents, as defined by national standards. ▪ Action: Review MoU of NGO operators, using the MoU as a means to ensure mutual accountability 	<p><i>Year 4: \$394,544</i></p>

between the NRA and operators, and compliance by operators with applicable Lao laws.

- Action: Manage, maintain and publicize information from databases, including IMSMA, as required.
- Action: Ensure the monthly reports from the NRA to GoL.
- Action: Develop UXO Sector Multipal year with increasing attention to NSEDP integration including communication and resource mobilization
- Action: Convene meetings of the UXO Sector Working Group, the NRA Board, the Programme Board and any other meeting required for UXO Sector needs.
- Action: Consolidate the information on the UXO sector and in collaboration with UNDP, to strengthen the Sector Working Group contribution to Round Table Process.
- Action: Initiate 4 TWG meetings on Policy, Coordination and Planning (target audience will be the programme managers).
- Action: Develop a communications strategy and strengthen NRA communications and outreach capacity, including improved quality and use of NRA publications and other awareness raising tools, updating the NRA website, etc.
- Action: Establish and manage, with AusAID and UNDP assistance, a Small Grants Facility (with dedicated Secretariat established in NRA) in

		<p>support of civil society activities in the area of MRE and VA.</p>	
<p>Activity Result 5: Improved institutional development of the NRA and its integration into the regular set-up of the Government</p>			
<ul style="list-style-type: none"> ▪ Action: develop the Capacity Development Action Plan based on the approved Capacity Development Strategies ▪ Action: Manage financial, logistical & human resources of the NRA, with reference to capacity development strategy. ▪ Action: Clarify the position of NRA within the GoL and secure GoL resources for the UXO Sector Action: Introduce and maintain a new effective financial resources management system. ▪ Action: Workshop to review previous Assessment of Gender Perspectives in UXO Action in the Lao PDR, and reflect feasible follow-up actions in annual work plans. 		<p><i>Year 4: \$1,042,113</i></p>	
<p>Activity Result 6: International treaty obligations met</p>			
<ul style="list-style-type: none"> ▪ Action: Provide annual updates on CCM implementation in accordance with Articles 7 and 11 of the CCM. ▪ Action: continue the development of domestic legislation in accordance with Article 9. 		<p><i>Year 4: \$102,242</i></p>	

		<ul style="list-style-type: none"> ▪ Action: Finalize and monitor implementation of CCM Implementation Plan. ▪ Action: Participate in the inter-sessional meetings in Geneva (3 people from the Gov). ▪ Action: Participate in the Meetings of States Parties (3 people from the Gov). 	
		Contracting through UNDP	<i>Year 4: \$691,723</i>

UNDP PLANNED CONTRIBUTION 2016 (Funded and to be Mobilized) 3,432,000 USD

UXO Results and Resources Framework: Until December 2016

Project title: Support to UXO Lao

ATLAS Award ID:

INTENDED OUTPUTS	OUTPUT TARGETS FOR (YEARS)	INDICATIVE ACTIVITIES	INPUTS
<p>OUTPUT 2: UXO Lao is better able to manage clearance and risk education programmes for the needs of communities at risk.</p> <p>Output Indicators:</p> <p>a) Priority land released in accordance with National Strategy and the <i>Convention on Cluster Munitions (CCM)</i> and in accordance with priorities set by Government to advance implementation of the NSEDP and its rural development and poverty reduction strategies</p>	<p>Activity 1: Release priority land in accordance with Lao National Standards and the <i>Convention on Cluster Munitions (CCM)</i> in nine or more provinces for safe access to contaminated land for socio-economic and humanitarian purposes and reduce the risk of UXO related accidents through risk education programs</p>		
	<p>Targets (year 4 = 2016):</p> <p>Action 1 – Clearance / Technical Survey Year 4: 2,500 hectares</p> <p>Action 2 – Roving Tasks Year 4: 1,200 tasks</p> <p>Action 3 – Survey and risk education Year 4: 430 villages</p>	<p>All provinces</p>	<p><i>Year 4: \$ 2,641,215</i></p>
	<p>ACTIVITY 2: Assess, strengthen and improve UXO Lao programme management capacity through provision of training and advisory services and mobilise sufficient resources for UXO Lao to enable Lao PDR to move towards meeting MDG 9 targets and CCM obligations</p>		

<p>b) fully implement NTS and TS survey standard operating procedures in accordance with national standards</p> <p>c) Progress towards a sustainable programme management capacity for UXO Lao</p> <p>d) Community awareness programmes are reaching communities, facing different risks by women, men, children, and other vulnerable groups.</p>	<p>Year 4: Technical operation and programme advisory support services provided to UXO Lao; Capacity M&E Framework finalized and agreed upon; Technical Assistance Exit Strategy Developed</p>	<p>Providing funding to ensure that the following UXO units in the National Office are fully operational and provide specific-to-role trainings to the following units to improve UXO Lao management capacity</p> <ul style="list-style-type: none"> ▪ Programme Management Unit ▪ Operations Management Unit ▪ Logistical Support Unit ▪ Financial Management Unit ▪ Database Management Unit ▪ Public Information Unit ▪ Quality Management Unit ▪ Consult stakeholders on capacity development process; clarify structure and function of UXO Lao, clarify TA support to core management functions with timelines, milestones as appropriate. ▪ Conduct Capacity development strategy M&E framework finalized based on current capacity baselines identified and roles distributed. ▪ Develop Technical Assistance Exit Strategy ▪ UNDP UXO unit to provide support to UXO Lao through donor coordination and project assurance 	<p><i>Year 4: \$1,027,636</i></p>
	<p>ACTIVITY 3: Provide training for all clearance personnel to national standards</p>		
	<p>Years 4: 75 staff trained annually and regular refresher training provided to all existing staff</p>	<p>Provide trainings to clearance personnel to national standards⁵</p> <ul style="list-style-type: none"> ▪ Clearance Personnel ▪ EOD Specialists ▪ Team Leaders ▪ Medics ▪ Survey Personnel ▪ Other training as identified 	<p><i>Year 4: \$ 389,149</i></p>

⁵ Costs here are allocated to the National Training Centre as well as the Provincial offices

	ACTIVITY 4: Review and adopt improved technology where possible for effective and efficient operations (technological and methodological innovations and sector best practices)		
	Year 4: Technological and methodologies observed and if appropriate are reviewed and considered for inclusion in operations	Review and adopt other improved technologies for effective and efficient operations	<i>Year 4: \$ 360,000</i>

UNDP PLANNED CONTRIBUTION 2016 (Funded and to be Mobilized) USD 4,418,000

ANNEX 1: Risk Log - revised 1 September 2015

Probability = P (1 is low and 5 is high)

Impact = I (1 is low and 5 is high)

Description	Date Identified	Type	Impact and Probability	Countermeasure/mgmt response	Owner	Submitted updated by	Last update	Status
Insufficient financial support by Government and continued high dependency of NRA/UXO Lao on donors' contributions	Project start date	Financial	P = 4 I = 5	Increased GoL contribution to the UXO sector, as well as continued donor funding to support expanded activities over time. Prefer multi-year contributions.	NRA and UXO Lao GoL	Nils Christensen	Sep 2015	Budget line introduced in national budget, but contribution still not specified; donor funding continued over the past years, although some reductions have been observed
Technical complexity of sector inhibits NRA and UXO Lao effectiveness	Project start date	Operational and organizational	P = 3 I = 3	Capacity self-assessments and capacity building measures to be undertaken by the NRA and UXO Lao with UNDP support, then capacity development plans undertaken Stay up to date with technical and non-technical developments in the sector	NRA and UXO Lao UNDP with donor support	Nils Christensen	Sep 2015	Capacity self-assessments finalized and capacity building measures defined; M&E Action Plan drafted and will help guide the assistance to the two organizations over the coming years
Provincial and District authorities reluctant to accept NRA proactive, centralized	Project start date	Political Operational	P = 3 I = 4	Mandate of NRA to be well communicated and endorsed by high political level. NRA will develop plans collaboratively and	NRA	Nils Christensen	Sep 2015	NRA representatives in place in all provinces ensuring stronger linkage with

role in prioritization and planning of activities.				will consult regularly. Will remain open and transparent.	GoL Political Level			local authorities in planning and prioritization
Some clearance activities may involve development projects which could contribute to the resettlement of people, particularly vulnerable groups, in a manner that does not meet the standards set by the Lao Government.	Project Start Date	Political	P- 3 I-4	GoL commits to undertaking resettlement only in accordance with Decree 192/PM on Compensation and Resettlement of People Affected by Development Projects. UNDP commits to further promoting transparency and conformity with Government standards.	GoL/NRA	Nils Christensen	Sep 2015	UNDP Social and Environmental Standards in place and is being integrated in the UXO programme
Insufficient opportunity for dialogue among NRA, donors and operators	Project Start Date	Operational	P-3 I-3	In addition to SWG and TWGs, NRA will convene monthly meeting with operators and semi-annual Policy Forum with donors, operators and others twice annually.	NRA	Nils Christensen	Sep 2015	Policy Forum conducted annually; frequent meetings with operators, as well as with Development Partners, are planned to commence in near future
Lao PDR may fail to meet their obligations contained in the CCM	Project Start Date	Legal	P-1 I-3	Lao PDR will accelerate clearance activities via increased efficiencies and expansion of activities among operators. Will seek extensions to deadlines when necessary to avoid being in non-compliance with treaty. Lao PDR will also seek to fulfill all other obligations as well, including support to victims.	GoL NRA/MoFA	Nils Christensen	Sep 2015	Still relevant; new survey procedures will help establish better baseline of remaining contamination

Changes in governance arrangements or key personnel in NRA or UXO Lao.	Project Start Date	Governance and/or management	P-1 I-2	GoL will ensure a smooth transition to any such developments and UNDP will facilitate open dialogue to address any concerns of project partners.	GoL	Nils Christensen	Sep 2015	So far this risk has not materialized or not had the anticipated negative effect;
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